

**Form PTO-1449** (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

ATTY. DEV. NO. 5760-16000

JAN 26 2005

APPLICANT: Borthakur, et al

FILING DATE: November 26, 2003

SERIAL NO. 10/723,729

GROUP: 2172 2163

**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE APPROPRIAT
lu	A1	6,389,538	5/14/02	Gruse, et al	—	—	

**FOREIGN PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATIO YES/NO

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

lu	A2	U.S. Patent Application Serial No. 10/723,704 filed November 26, 2003.					
↓	A3	Gifford, et al, "Semantic File Systems", <i>Proceedings of the 13<sup>th</sup> ACM Symposium on Operating Systems Principles</i> , October 1991, Pacific Grove, CA. 10 pages					
↓	A4	Yeh, et al, "Using Program and User Information to Improve File Prediction Performance," <i>Proceedings of the International Symposium on Performance Analysis of Systems and Software (ISPASS '01)</i> , pp. 111-119, November 2001, IEEE, Tucson, AZ.					

EXAMINER:

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DATE CONSIDERED:

4 MAY 2006

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.